

# Theory of second-harmonic generation in a chirped 2D nonlinear optical superlattice under nonlinear Raman-Nath diffraction

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Received 28 August 2015; revised 4 October 2015; accepted 5 October 2015; posted 7 October 2015 (Doc. ID 248907); published 4 November 2015

We analyze second-harmonic generation (SHG) in a two-dimensional nonlinear optical superlattice (NLOS) with its modulation period being chirped in the propagation direction and constant in the transverse direction. This results in efficient multiple SHG via nonlinear Raman-Nath diffraction. We obtain exact analytical expressions for a SH amplitude generated in chirped 2D NLOSs and for its quasi-phase-matching bandwidth. The results of analytical calculations are in excellent agreement with the numerical ones. We show that the process is robust to angular deviations of NLOS and it can be applied to enable tunable and broadband frequency conversion. © 2015 Optical Society of America

**OCIS codes:** (190.2620) Harmonic generation and mixing; (190.4223) Nonlinear wave mixing; (190.4420) Nonlinear optics, transverse effects in.

<http://dx.doi.org/10.1364/JOSAB.32.002411>

## 1. INTRODUCTION

One of the challenges of nonlinear optics is to create a new family of multichannel nonlinear optical converters that offer ultrawide spectral tunability and large angular aperture. The most promising phenomenon that can be used for these purposes is nonlinear Raman-Nath diffraction (NRND) in one-dimensional nonlinear optical superlattices (NLOSs) [1–6]. The main advantage of this phenomenon is the ability to emit multiple second-harmonic (SH) beams at characteristic angles relative to the incoming fundamental frequency (FF) beam. The disadvantage of this process is oscillation of the SH amplitude along the propagation direction because of the phase mismatch between FF and SH waves. To solve this problem, two-dimensional (2D) NLOSs were proposed [7,8]. The authors of [9] experimentally studied second-harmonic generation (SHG) in an almost periodic 2D NLOS. The periodicity of the superlattice in the propagation direction allows us to realize SHG under quasi-phase-matching (QPM) for a discrete number of wavelengths, which are different for different NRND orders. In [8], a method of superposition of nonlinear susceptibility modulation is applied for designing 2D NLOSs to overcome this circumstance. At the same time, the 2D NLOSs described in [8,9] are characterized by narrow spectral and angular

acceptances. On the contrary, 2D NLOSs with random spatial fluctuations of the nonlinear coefficient in the propagation direction possess a wide range of reciprocal superlattice vectors (RSVs) to match appropriate FF and SH wave vectors [7]. In this case, enhanced SHG can be achieved in wide spectral and angular ranges. Alternatively, chirped spatial modulation of the nonlinear coefficient of superlattices can help solve the problem. This is the most feasible technique to obtain a broader RSV spectrum. Contrary to the cases of angular- and QPM, the spectral response of chirped structures increases with propagation length. Chirped 1D NLOSs have been shown to be promising for compressing and stretching optical pulses [10] and for broadband harmonic [11] and biphoton [12,13] generation. Chirped structures are also considered to be promising for adiabatic frequency conversion with efficiency as high as possible. The basic concept of and recent advances in adiabatic frequency conversion are reviewed in [14]. However, in our opinion an exact analytical expression to characterize SHG in chirped lattices has not been found yet.

In the present work, we study SHG in a 2D nonlinear optical superlattice. We designed a rectangular nonlinear optical superlattice such that its modulation period is chirped in the propagation direction and periodical modulation is in the

transverse direction. We obtain an exact analytical expression for the SH amplitude generated in a chirped 2D NLOS. The results are found to be in excellent agreement with numerical calculations. The employed approach ensures efficient multiple frequency generation via nonlinear Raman–Nath diffraction. These results open up new possibilities to create multichannel nonlinear optical converters for a wide range of applications.

## 2. THEORETICAL MODEL

We begin our analysis on the SHG by considering propagation of the fundamental wave through a chirped 2D nonlinear optical superlattice presented in Fig. 1(a). It is assumed that the nonlinear susceptibility of the superlattice is modulated periodically along the transverse direction (axis  $x$ ) and represents a chirped rectangular function along the propagation direction (axis  $y$ ). This configuration allows us to match FF ( $\mathbf{k}_1$ ) and SH ( $\mathbf{k}_2$ ) wave vectors by appropriate RSVs, as shown in Fig. 1(b). In particular, the linear dependence of RSVs along the propagation direction can be used to compensate wave vector mismatches between longitudinal components ( $\mathbf{q}_y = \Delta\mathbf{k}_{\parallel}$ ) while the discrete set of RSVs in the transverse direction produces a series of NRND orders ( $\mathbf{q}_x = \Delta\mathbf{k}_{\perp}$ ). It can be mathematically expressed as momentum conservation conditions in projections onto the corresponding axes,

$$\Delta k_{\perp} = |\mathbf{k}_2| \sin(\theta) - 2|\mathbf{k}_1| \sin(\gamma) = m|\mathbf{q}_x|, \quad (1)$$

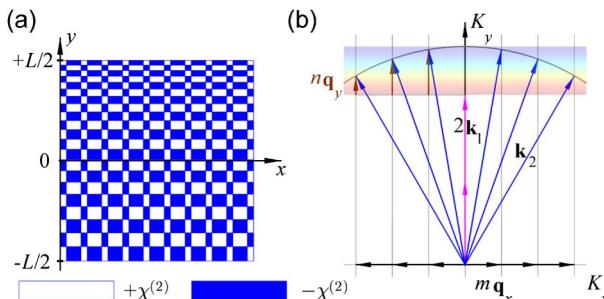
$$\Delta k_{\parallel} = |\mathbf{k}_2| \cos(\theta) - 2|\mathbf{k}_1| \cos(\gamma) = n|\mathbf{q}_y|, \quad (2)$$

where  $\gamma$  and  $\theta$  are the inner FF and SH propagation angles, respectively;  $m$  is the NRND order; and  $n$  is the effective QPM order. Equation (1) is known as the nonlinear Raman–Nath condition that can be represented in the most convenient form:

$$\sin \theta_m = \frac{2|\mathbf{k}_1| \sin(\gamma) + m|\mathbf{q}_x|}{|\mathbf{k}_2|}. \quad (3)$$

Equation (2) describes the condition for Cerenkov nonlinear diffraction [15–22]. To satisfy this condition for a set of NRND orders, linearly chirped (gradually stepped) spatial modulation of the sign of nonlinear susceptibility can be applied.

The second order nonlinear susceptibility of the superlattice can be represented as a binary function of two spatial coordinates  $g(x, y)$  taking the values  $\pm 1$  over the structure, while the refractive index is supposed to be homogeneous. It



**Fig. 1.** (a) Spatial variance of the sign of nonlinear susceptibility over a chirped superlattice, and (b) the vectorial phase-matching diagram. The rainbow region illustrates the range of appropriate values of reciprocal superlattice vectors.

is assumed that the conversion efficiency is low and undepleted field approximation can be applied. Moreover, the process under consideration occurs within a narrow angular range, i.e., SH propagation angles  $\theta_m$  are small enough to satisfy  $\sin \theta_m/\theta_m \approx 1$ . This means that the problem under study can be considered in paraxial approximation. Then, given these assumptions, the process of SH generation can be described by the equation

$$\left( \frac{\partial}{\partial y} + \frac{i}{2k_2} \Delta_{\perp} \right) A(\mathbf{r}, y) = \Gamma g(x, y) F^2(\mathbf{r}) e^{i\Delta ky}, \quad (4)$$

where  $\Gamma = -2i\pi k_2 \chi^{(2)} A_1^2 / n_2^2$ ;  $A$  and  $A$  are the complex FF and SH field amplitudes, respectively;  $\chi^{(2)}$  is the second-order nonlinear susceptibility;  $\Delta k = k_2 - 2k_1$  is the wave vector mismatch;  $\Delta_{\perp} = (\partial^2/\partial x^2 + \partial^2/\partial z^2)$  is the transverse Laplacian;  $n_2$  denotes the refractive index at the SH frequency;  $F(\mathbf{r}) = \exp(-r^2/a^2)$  is the transverse distribution of the fundamental beam intensity;  $r^2 = x^2 + z^2$ ; and  $a$  is the spot radius.

To solve Eq. (4), it is easy to represent the amplitude  $A(\mathbf{r}, y)$  as a Fourier spectrum,

$$A(\mathbf{K}, y) = \iint A(\mathbf{r}, y) e^{i\mathbf{Kr}} dx dz, \quad (5)$$

where  $K^2 = K_x^2 + K_z^2$ .

The limits of integration are from  $-\infty$  to  $\infty$  and will be omitted in our further discussion. Then Eq. (4) can be rewritten as

$$\left( \frac{\partial}{\partial y} - \frac{i\mathbf{K}^2}{2k_2} \right) A(\mathbf{K}, y) = \Gamma \iint g(x, y) F^2(\mathbf{r}) e^{i\Delta ky} e^{i\mathbf{Kr}} dx dz. \quad (6)$$

We define the function  $g(x, y)$  as a product of two functions  $g(x, y) = \xi(x)\eta(y)$ , which are responsible for the nonlinear susceptibility modulation along respective directions. The spatial dependence of the nonlinear coefficient along the  $x$  direction can be expressed as the following Fourier series,

$$\xi(x) = \sum_{m=0,\pm 1,\dots} \xi_m e^{imq_x x}. \quad (7)$$

where  $q_x = 2\pi/\Lambda$  is the primary reciprocal superlattice vector (spatial frequency),  $\Lambda$  is the modulation period. The Fourier coefficients  $\xi_m$  for a periodic rectangular function with the duty cycle  $D$  take the form  $\xi_m = 2D - 1$  if  $m = 0$  and  $\xi_m = (2/\pi m) \sin(\pi m D)$  otherwise. The duty cycle value defined as a ratio of the relevant domain thickness to the modulation period influences the magnitude of the corresponding Fourier coefficient and the strength of respective nonlinear diffraction order.

Let us define the spatial modulation of the nonlinear coefficient along the  $y$  direction as

$$\eta(y) = \text{sgn}(\sin(K(y)y)), \quad (8)$$

where the local spatial frequency  $K(y) = q_y(1 + \beta y)$  is a slowly varying function of the coordinate  $y \in [-L/2, L/2]$ ,  $q_y$  is the primary spatial frequency in the center of the superlattice at  $y = 0$ , and  $\beta$  is the rate of frequency increase or the chirp parameter. Note that  $\beta = 0$  corresponds to the periodic structure.

The signum function can be represented in an integral form,

$$\operatorname{sgn}(f(y)) = (2/\pi) \int_0^\infty \frac{\sin(uf(y))}{u} du. \quad (9)$$

Substituting Eq. (8) into Eq. (9) yields

$$\sin(u \sin(K(y)y)) = 2 \sum_{p=0}^{\infty} J_{2p+1}(u) \sin((2p+1)K(y)y), \quad (10)$$

where  $J_{2p+1}(u)$  is the Bessel functions of real argument.

Taking into account the integral relation

$$\int_0^\infty u^{-1} J_{2p+1}(u) du = \frac{1}{2p+1}, \quad (11)$$

we arrive at [23]

$$\eta(y) = (4/\pi) \sum_{p=0}^{\infty} \frac{1}{2p+1} \sin((2p+1)K(y)y). \quad (12)$$

As expected from Eq. (12) the spatial frequency chirp appears in all orders of spatial modulation of nonlinearity.

Integrating Eq. (6) over the transverse coordinates, which account for Eq. (7), and assuming Eq. (12), we obtain

$$A(K_x, y) \exp(-i((K_x^2 + K_z^2)/2k_2)y) = (4\alpha/\pi)$$

$$\times \sum_{p=0,1,2,\dots} \frac{1}{2p+1} \int \sin(Q(1+\beta y)y) \exp(i\Delta\tilde{k}y) dy. \quad (13)$$

Here,  $\alpha = \pi a^2 \Gamma / 2$ ,  $\Delta\tilde{k} = \Delta k - K_x^2 / 2k_2$ ,  $Q(p) = -(2p+1)q_y$  is the reciprocal superlattice vector for the central frequency in the center of the lattice, and  $2p+1$  can be treated as the QPM order. The function  $R(K_x) = \sum_m \xi_m \exp(-a^2((K_x + mq_x)^2 + K_z^2)/8)$  is associated with phase matching of the transverse components of FF and SH wave vectors. The solution of Eq. (13) is a SH amplitude generated in a 2D superlattice (compare to [12]):

$$\begin{aligned} A(K_x, K_z, L) &= (\alpha/\pi)(-1)^{3/4} \exp\left(i \frac{(K_x^2 + K_z^2)}{2k_2} L\right) \sum_{p=0,1,2,\dots} \frac{1}{2p+1} R(K_x) \sqrt{\frac{\pi}{\beta Q}} \\ &\times \left\{ i \exp\left(-i \frac{(\Delta\tilde{k} + Q)^2}{4\beta Q}\right) \left[ \operatorname{erfi}\left(\frac{(1+i)(\Delta\tilde{k} + Q + \beta QL)}{2\sqrt{2\beta Q}}\right) - \operatorname{erfi}\left(\frac{(1-i)(\Delta\tilde{k} + Q - \beta QL)}{2\sqrt{2\beta Q}}\right) \right] \right. \\ &\left. + \exp\left(i \frac{(\Delta\tilde{k} - Q)^2}{4\beta Q}\right) \left[ \operatorname{erfi}\left(\frac{(1-i)(\Delta\tilde{k} - Q + \beta QL)}{2\sqrt{2\beta Q}}\right) - \operatorname{erfi}\left(\frac{(1+i)(\Delta\tilde{k} - Q - \beta QL)}{2\sqrt{2\beta Q}}\right) \right] \right\}. \end{aligned} \quad (14)$$

Here,  $\operatorname{erfi}(z) = -i\operatorname{erf}(iz) = 2/\sqrt{\pi} \int_0^z \exp(t^2) dt$  is an imaginary error function. Note that summation in Eq. (14) is performed over positive integers and the first term is responsible for the QPM when the condition  $\Delta\tilde{k} + Q \pm \beta QL = 0$  is satisfied, while the second one contributes to the process if  $\Delta\tilde{k} - Q \pm \beta QL = 0$ . The case under study is  $\Delta\tilde{k} + Q \pm \beta QL = 0$  and the second term in Eq. (14) can be ignored.

Analyzing Eq. (14) we obtained a simple formula for the SHG spectral bandwidth under NRND in a chirped 2D superlattice,

$$\Delta\lambda_2(\beta, m) \simeq \left| \frac{2\beta QL}{\frac{d}{d\lambda_2}(\Delta\tilde{k})} \right| = \left| \frac{2\beta QL}{\frac{d}{d\lambda_2}(\Delta k - (mq_x)^2/2k_2)} \right|, \quad (15)$$

where  $\lambda_2$  is the SH wavelength.

Usually a large spectral bandwidth means wide angular acceptance of the nonlinear converter. In our case, a spectral component being in the center of the spectral bandwidth at normal incidence will be efficiently converted to SH when propagating into the structure at a critical angle:

$$\gamma_{cr}(\beta, m) \simeq \pm \arccos \left( \frac{1}{2k_1} \left[ k_2 - \frac{(mq_x)^2}{2k_2} + \left( 1 + \frac{\beta L}{2} \right) Q \right] \right). \quad (16)$$

The solution for chirped superlattices is significantly different from the one corresponding to a periodic lattice. For further investigation, we need to find a solution for a periodic structure. In this case spatial modulation of the nonlinear coefficient along the propagation direction can be expressed in the form of Eq. (7),

$$\eta(y) = \sum_{n=0,\pm 1,\dots} \eta_n e^{inq_y}, \quad (17)$$

where  $\eta_n$  are the Fourier coefficients.

Under this assumption, the solution of Eq. (6) is

$$A(K_x, L) = \alpha LR(K_x)$$

$$\times \sum_{n=0,\pm 1,\dots} \eta_n \exp\left(iL \frac{(K_x^2 + K_z^2)}{2k_2}\right) \operatorname{sinc}\left(\frac{L(\Delta\tilde{k} + Q)}{2}\right). \quad (18)$$

Here,  $Q = nq_y$ . Equation (18) is consistent with the results of paper [24], where Cerenkov nonlinear diffraction in a 2D NLOS was considered.

In the case of a lattice with periodic modulation, the QPM SHG bandwidth equals

$$\Delta\lambda_2(m) \simeq \left| \frac{0.886\pi}{L \frac{d}{d\lambda_2}(\Delta\tilde{k})} \right|. \quad (19)$$

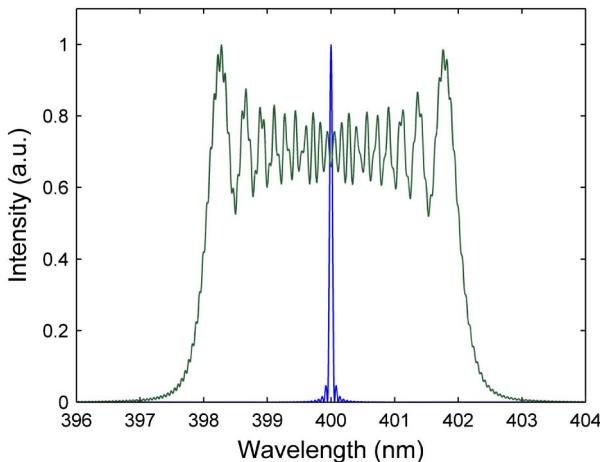
While the spatial frequency takes the values in accordance with Eq. (1), i.e.,  $K_x(\theta, \gamma) = k_2 \sin(\theta) - 2k_1 \sin(\gamma)$ , the wave vector mismatch in longitudinal direction obeys Eq. (2), i.e.,  $\Delta\tilde{k}(\theta, \gamma) = k_2 \cos(\theta) - 2k_1 \cos(\gamma)$ . Indeed, if  $K_x^2/2k_2 \ll k_2$  in Eq. (12) we obtain  $k_2 \cos(\theta) \simeq k_2 - K_x^2/2k_2$ .

### 3. RESULTS AND DISCUSSION

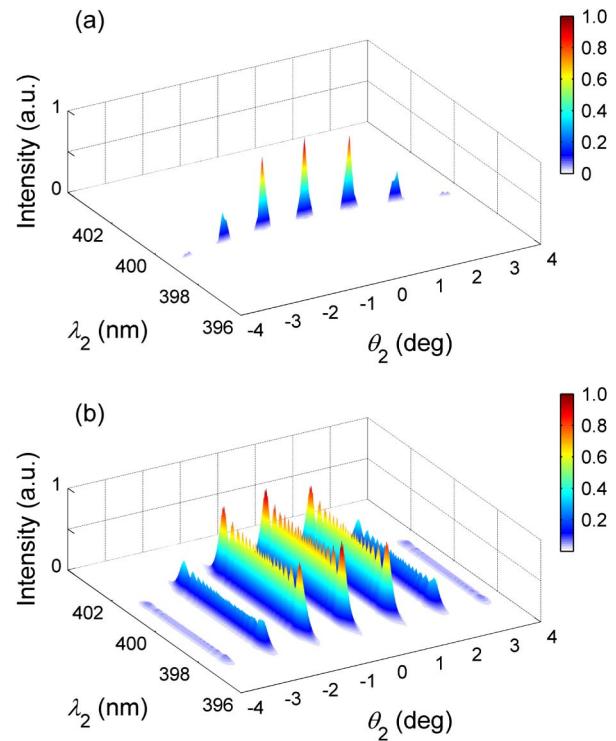
It is obvious that nonlinear Raman–Nath diffraction should have a different effect in different 2D NLOSs. In this context, we consider spectral and spatial characteristics acquired by SH under nonlinear Raman–Nath diffraction in periodic and chirped 2D NLOSs. We will analyze the influence of spatial parameters of the lattices on the SH characteristics.

We choose lithium niobate as a nonlinear medium and consider propagation and interaction of extraordinary waves. The fundamental radiation was chosen to be linearly polarized along the  $z$  axis so FF and SH waves were coupled by the relevant nonlinear coefficient of lithium niobate  $\chi^{(2)} = 2d_{33}$ . The principal refractive indices of lithium niobate were approximated by the Sellmeier coefficients from [25]. First, we calculate spectral characteristics of SH generated in periodic and chirped 2D NLOSs. Equation (18) was used to calculate the spectral intensity  $S(K_x, L) = |A(K_x, L)|^2$  in a periodic lattice. The following parameters were chosen for the calculations: central fundamental wavelength 800 nm, beam size 80  $\mu\text{m}$  (FWHM), thickness of the superlattice  $L = 5$  mm, NRND order  $m = 0$ , QPM order  $n = 3$  (RSV  $Q = 3q_y$ ,  $\Lambda = 7.73 \mu\text{m}$ , and the respective Fourier coefficient  $\eta_{n=3} = -2/(3\pi)$ ). The calculated spectral dependence of the SH intensity is shown in Fig. 2. For the case of a periodic structure the spectral dependence has the shape of a typical  $\text{sinc}^2(x)$ -function, 0.05 nm wide (FWHM) and located at half of the central fundamental wavelength. Using Eq. (14), we calculated SHG in a positively chirped (up-chirped) superlattice of the same length. We assume that the third-order QPM SHG ( $2p + 1 = 3$ ) for the 0th NRND order ( $m = 0$ ) is realized in the center of the superlattice ( $y = 0$ ). The chirp parameter is taken to be  $\beta = 4 \text{ m}^{-1}$  since the spatial frequency changes from 0.805 to 0.821  $\mu\text{m}^{-1}$ . This means that the effective period varies from 7.81 to 7.66  $\mu\text{m}$  throughout the superlattice. Note that the layer thicknesses fit the hyperbolic law for spatial frequency. One can see from Fig. 2 that the spectral response of the chirped structure is wider than the one corresponding to the periodic structure. The spectral dependence has ripples resulting from oscillations of the erfi-functions in Eq. (14). In order to smooth the spectral ripples, an apodization technique [26] may be additionally employed to design the structure, which, however, is beyond our consideration. The results obtained can be generalized for the case of broadband upconversion in chirped 1D NLOSs.

Again, using Eq. (18) we calculate spatial distribution of the SH spectral intensity for NRND in periodic 2D NLOS. We chose the duty cycle  $D_x = 0.74$  to obtain comparable Fourier coefficients for the first three NRND orders ( $\xi_{m=0} = 0.48$ ,



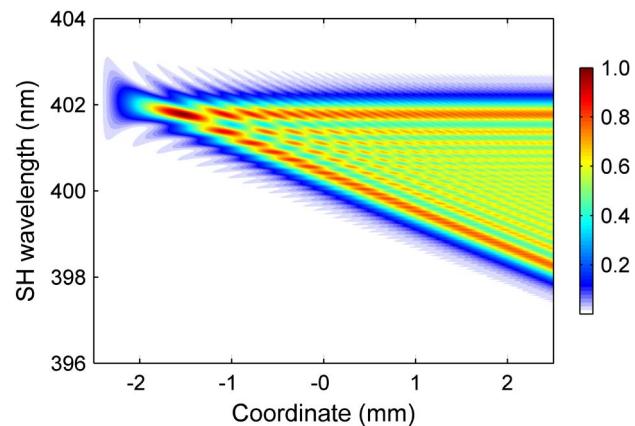
**Fig. 2.** SH spectra generated in periodic (blue) and chirped (green) 2D nonlinear optical superlattices for the zeroth-order NRND.



**Fig. 3.** Angular distribution of the spectral intensity of SH radiation in (a) periodic and (b) chirped 2D nonlinear optical superlattices.

$\xi_{m=1} \approx 0.46$ ,  $\xi_{m=2} \approx -0.32$ ). The result of these calculations is presented in Fig. 3(a). It is seen that the NRND orders experience a spectral shift toward shorter wavelengths as the NRND order grows [9]. This means that using quasi-monochromatic radiation will result in inefficient SHG for all NRND orders except for one whose longitudinal phase-matching condition satisfied. On the contrary, the chirped structure exhibits wider spectral responses for all NRND orders, as shown in Fig. 3(b). The spectral ranges for different orders may overlap ensuring efficient NRND for a set of orders.

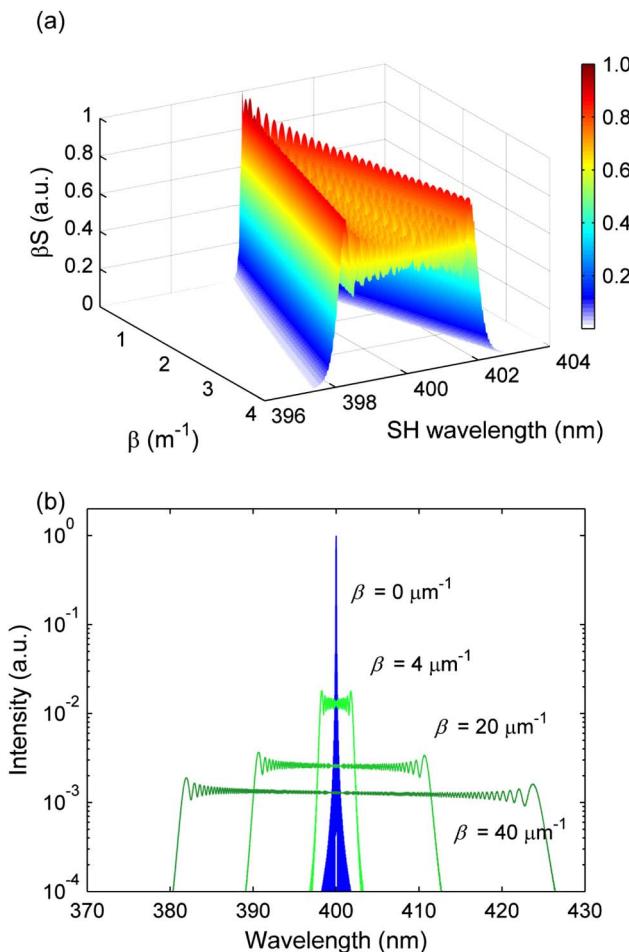
For a certain NRND order, the SH spectral components evolve along the structure, as shown in Fig. 4. It is seen that the spectral response of the structure is linearly distributed over



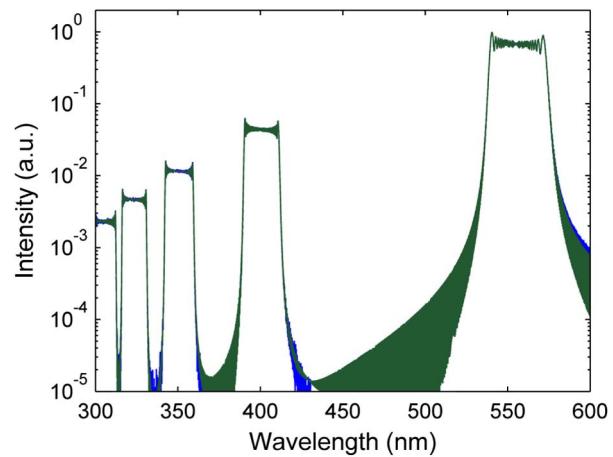
**Fig. 4.** Evolution of the SH spectral intensity along the propagation direction in a chirped superlattice for zero-order NRND ( $\beta = 4 \text{ m}^{-1}$ ).

the structure. As the fundamental radiation propagates through the structure, new spectral components are involved in the process. When the SH intensity reaches its maximum, weak intensity oscillations appear. The scale of oscillations decreases in the propagation direction, which can be explained by spatial dependence of the phase mismatch in the longitudinal direction. Namely, the farther away from the region of exact QPM, the smaller the scale of oscillation. These oscillations are accompanied by minor reduction in the intensity along the propagation direction. Moreover, the spectral width grows along the propagation length, while the details of SH spectra become smaller. From Fig. 4 we evaluate the spectral width to be  $0.8 \text{ nm} \cdot \text{mm}^{-1}$ . Note that changing the sign of the chirp parameter results in mirror inversion of the calculated dependence in the spectral range relative to the central SH wavelength. This can be simply achieved by inverting the coordinates  $y \rightarrow -y$ .

Next, we study the influence of the chirp parameter on SH spectral characteristics. In Fig. 5(a), the calculated dependence is shown in the range of relatively small values of the chirp parameter  $\beta = 0.1\text{--}5 \text{ m}^{-1}$ . These results are represented as a product  $\beta S(K_x, L)$  because the spectral intensity is an inverse function of the chirp parameter and hence the spectral intensity



**Fig. 5.** (a) Dependence of the SH spectral intensity on the chirp parameter, and (b) a set of SH spectra for characteristic values of the chirp parameter ( $\beta = 4, 20, 40 \text{ m}^{-1}$ ).



**Fig. 6.** Spectral response of chirped structures calculated numerically (blue) and analytically ( $\beta = 20 \text{ m}^{-1}$ ) (green). The selected bands correspond to the following QPM orders  $p = 1, 3, 5, 7$  (from right to left).

decays rapidly [see Eq. (14)] unlike the dependence on the coordinate (Fig. 4). In some sense, these dependences are similar, i.e., the dependence in Fig. 5(a) behaves in the same manner as the dependence on the propagation coordinate (Fig. 4). Figure 5(b) shows distribution of the spectral intensity for periodic and chirped 2D NLOSs for selected chirp parameters  $\beta = 4, 20, 40 \text{ m}^{-1}$ . On the one hand, increasing the chirp parameter leads to spectral broadening. From Fig. 5(b), the corresponding spectral bandwidths are 4.0, 21.0, 43.2 nm. Equation (15) gives the following spectral bandwidths:  $\Delta\lambda_2 = 4.4, 21.8, 43.6 \text{ nm}$ . On the other hand, the external critical angle calculated using Eq. (15) is 4.6 deg for  $m = 0$  and  $\beta = 4 \text{ m}^{-1}$ . Therefore, the choice of the chirp parameter is a trade-off between a wide spectral (angular) bandwidth and high SHG efficiency. Equation (14) allows us to find the optimal value of the chirp parameter to design 2D NLOS enabling efficient conversion of ultrabroadband laser radiation.

We numerically verified Eq. (14) using the approach described in [8]. This approach takes into account contributions from a sequence of layers in arbitrary structured rectangular 2D NLOS. Figure 6 illustrates the results of numerical calculations of the spectral response in a chirped lattice in comparison with the results obtained from the analytical expression [Eq. (14)]. These dependences coincide with each other in a wide range of intensities. We can conclude that Eq. (14) provides high accuracy of calculations.

#### 4. CONCLUSION

We have elaborated the theory of second-harmonic generation (SHG) under nonlinear Raman–Nath diffraction in a two-dimensional nonlinear optical superlattice (2D NLOS) characterized by chirped modulation of the nonlinear susceptibility in longitudinal direction. It is shown that a chirped 2D superlattice supports broadband multiple SHG via nonlinear Raman–Nath diffraction. An expression for the spectral bandwidth of quasi-phase-matched SHG has been obtained. The approach developed can be easily generalized to the case of

supercontinuum radiation [27]. It is also necessary to note that it is of interest implementing a method [28,29] to synthesize 2D nonlinear structures for the generation of the second-harmonic pulses of desired shape and phase profile under the nonlinear Raman–Nath diffraction. In conclusion, 2D NLOSSs may inspire a wide range of applications in laser wavelength multiplexing and synthesis of subfemtosecond light pulses [30,31].

**Funding.** Council of the President of the Russian Federation (MK-2908.2015.2); Russian Foundation for Basic Research (RFBR) (15-02-03838).

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